

APPENDIX 11
SAMPLE MEDICAL DAY TREATMENT FUNCTIONAL ASSESSMENT

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">W</td> <td style="width: 10%;">D</td> <td style="width: 10%;">F</td> <td style="width: 10%;">2</td> <td style="width: 10%;">Page</td> </tr> <tr> <td>A</td> <td>T</td> <td>A</td> <td>90</td> <td>1</td> </tr> <tr> <td>P</td> <td></td> <td></td> <td></td> <td></td> </tr> </table>	W	D	F	2	Page	A	T	A	90	1	P					<p>(1) () Initial Assessment - (Date) <u>10/1/91</u> () Reassessment - (Date) <u>4/1/92</u> Recipient's Name <u>Im. A. Recipient</u></p> <p>(2) Client has received <u>380</u> hours of day treatment since initial assessment.</p>
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<p>MEDICAL DAY TREATMENT DEMOGRAPHIC AND CLIENT INFORMATION</p>																
<p>(3) NAME: Last, First, Initial <u>Recipient, Im A.</u></p>		<p>(4) SEX <u>M () F (X)</u></p>		<p>(5) BIRTHDATE: Month, Date, Year <u>MM/DD/YY</u></p>												
<p>(6) ADDRESS: Street, City/Village/Town, County, State, Zip <u>609 Willow Anytown, WI 55555</u></p>				<p>(7) Telephone <u></u></p>												
<p>(8) REFERRAL SOURCE: 1 2 3 4 5 6 7 8* <u></u> Name/Agency <u></u> Address <u></u></p>				<p>(9) Telephone <u></u></p>												
<p>(10) PRESCRIBING PHYSICIAN: Name <u>I. M. Physician</u> Address <u></u></p>				<p>(11) Telephone <u></u></p>												
<p>(12) Client Presently Hospitalized? Yes () No (X) Client Presently Living in Nursing Home? Yes () No (X)</p>																
<p>(13) NAME OF FACILITY/ADDRESS <u></u></p>																
<p>(14) Since Discharge Date <u></u></p>																
<p>USUAL LIVING ARRANGEMENT</p>																
<p>(15) () Alone () Household with spouse only () Household with spouse and other relatives or with other relatives only () Household with non-relatives (X) Group quarters, other than a health-related facility () CBRF () Other/specify <u></u></p>																
<p>REASON FOR REFERRAL</p>																
<p>(16) MEDICAID # <u>1234567890</u> SSIS # <u></u> TIS # <u></u></p>		<p>(17) To stabilize acting out behavior and monitor SI. Also to increase coping skills.</p>														
<p>ELIGIBILITY DECISION CRITERIA</p>																
<p>(18) 1. AODA currently Yes () No (X)</p>		<p>(25) CURRENT SERVICES BEING RECEIVED (Medical and Non-medical): <u>Psycho Therapeutic Groups, skills group(coping), psychiatric medication evaluations, etc.</u></p>														
<p>(19) 2. MR Primary Diagnosis Yes () No (X)</p>		<p>(26) NAME OF DAY TREATMENT PROGRAM <u>I.M. Nurse RN</u> <u>Signature of Assessor Discipline</u> <u>I.M. Director</u> <u>Review of Functional Assessment by Day Treatment Program Director</u> <u>Signature</u></p>														
<p>(20) 3. ICD-9: Primary Diagnosis # <u>295.3</u> Secondary & Other # <u>301.8</u></p>																
<p>(21) 4. Total Score LOF (pages 2-4) <u>303530</u> <u>9.5</u></p>																
<p>(22) 5. Likelihood of Benefit (page 5): <u>90%</u></p>																
<p>(23) 6. Course of Functioning Score (page 5): <u>8</u></p>																
<p>(24) 7. Risk of Hospitalization (page 5): <u>85%</u></p>																
<p>AUTHORIZATION</p>																
<p>Pursuant to the provisions of HSS 105.245(3)(b), Wis. Adm. Code, I have reviewed this functional assessment and I verify that the service is approved by the board.</p>																
<p>(27) BY: SIGNATURE TITLE DATE</p>																
<p>(28) APPROVAL GIVEN FOR: <input type="checkbox"/> Functional Assessment <input type="checkbox"/> Provision of Day Treatment <input type="checkbox"/> Submission of Prior Authorization Request</p>																
<p>(29) *REFERRAL SOURCE CODE: (1) Hospital (3) Friend (5) Self (7) Physician (2) Family (4) Agency (6) Nursing Home (8) Other</p>																

N/A

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() Initial Assessment - (Date) 10/1/91
() Reassessment - (Date) 4/1/92
Recipient's Name _____

LEVEL OF FUNCTIONING
TASK ORIENTATION SCALE

Note: You must know first hand or have it reliably documented that the client has actually done the tasks.

Indicators:

- a. Degree of self-application (concentration, follow through, assuming responsibility) and if necessary, the amount of guidance (instruction in performance) and support (reinforcement, reassurance) needed to maintain functioning.
- b. Relationship of level of stress to task functioning and the amount of support needed to engage or re-engage in tasks.

COMMENTS

-
1. a. Cannot apply self to any task for any period of time. Demonstrates no goal directed behavior. May wonder aimlessly. Guidance and support have no effect on task functioning.
b. Cannot cope with any stress.

 2. a. Rarely concentrates. When alone, rarely follows through with tasks. In a highly structured situation with others very limited task follow through even with constant guidance and support.
b. Functioning breaks down with slightest stress. Needs much support to re-engage.

 3. ☒ a. Concentrates intermittently. When alone, limited follow through. Some follow through with continuous support; no guidance necessary.
☐ b. With low stress task functioning breaks down. Support needed to re-engage.

 4. a. Concentrates fairly consistently. At times able to follow through. Occasionally assumes responsibility for tasks, when requested to do so, if support is provided.
b. With low stress, task functioning is usually diminished. Support needed to re-engage.

 5. a. Follows through frequently and voluntarily assumes responsibility for tasks. Occasionally needs support.
b. With low stress, functioning will occasionally be impaired. With moderate stress, functioning will almost always be impaired. Usually needs support to re-engage.

 6. a. Concentration is consistent and purposeful. Follows through well and often assumes responsibility for tasks, only requiring support when under stress.
b. With moderate stress, functioning is usually impaired. Can re-engage by self.

 7. a. Concentration is almost always consistent and purposeful. Follows through very well and is actively responsible in relation to tasks. Usually follows through even with frustrating tasks. Task mastery is experienced as valuable and satisfying. Very seldom needs support.
b. With moderate stress can maintain functioning. With high stress functioning is impaired, but can re-engage by self.

 8. a. Excellent concentration and achievement orientation. Very seldom subject to distraction. Follows through even with the most frustrating tasks. Almost never needs support.
b. With high stress, functioning only slightly impaired. Can re-engage by self.
-

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() Initial Assessment - (Date) 10/1/91
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Recipient's Name _____

LEVEL OF FUNCTIONING
SOCIAL FUNCTIONING SCALE

NOTE: Social interaction can be in or out of the program. You must know of its occurrence first hand or it must be reliably documented. Social interaction with staff is not to be taken into consideration when rating.

Indicators:

- a. Ability to initiate interpersonal contact.
- b. Degree of conversational interaction.
- c. Degree of comfort in interpersonal situations.
- d. Relationship between level of stress and social functioning. Amount of support needed to engage or re-engage.

-
1. a. Does not initiate contact. When approached, no response.
b. Shows no ability to listen or respond in conversation.
c. Extreme discomfort being with others.
d. Unable to cope with any stress.
-
2. a. Very rarely initiates contact. When approached, sometimes responds.
b. Rarely listens. Responses not appropriate to conversation flow (lack of continuity, coherence).
c. General discomfort with others most of the time.
d. With the slightest stress functioning breaks down. Needs support to re-engage.
-
3. a. Rarely initiates contact. If approached, almost always responds.
b. Sometimes listens. Responses occasionally appropriate to conversation flow.
☒ c. Discomfort with others but can tolerate limited supported interaction.
☒ d. With low stress, functioning almost always breaks down. Needs support to re-engage.
-
4. ☒ a. Sometimes initiates contact. Always responds.
☒ b. Usually listens. Responses often appropriate with some sharing in the conversation flow.
c. Some discomfort but with support can tolerate most interactions.
d. With low stress, functioning at this level usually diminishes. Needs support to re-engage.
-
5. a. Often initiates contact.
b. Can listen well. Usually responds in shared way to the conversation flow.
c. Usually comfortable with others in interactions that are not stressful.
d. Under low stress, functioning occasionally breaks down. With moderate stress functioning will almost always be impaired. Usually needs support to re-engage.
-
6. a. In most cases can initiate contact.
b. Listens very well. Responds in shared way to conversation flow. At times actively shapes conversation.
c. Usually comfortable in most interactions.
d. With moderate stress, functioning is occasionally impaired. Can re-engage by self.
-
7. a. Almost always able to initiate contact as desired.
b. Listens with empathy. Not only responds but actively shapes conversation appropriately.
c. Not only feels comfortable, but experiences interactions as satisfying.
d. With moderate stress, can maintain functioning. With high stress, functioning diminishes. Can re-engage by self.
-
8. a. Initiates contacts as desired.
b. Listens intuitively. Responds and shapes conversation appropriately, as desired.
c. Not only feels comfortable, but experiences being with others as self-enhancing.
d. With high stress, involvement may be diminished, but client is not immobilized.

COMMENT

3.5

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() Initial Assessment - (Date) 12/23/93
() Reassessment - (Date) _____
Recipient's Name _____

LEVEL OF FUNCTIONING
EMOTIONAL SCALE

Indicators:

- a. Client's ability to be aware of and understand his emotional states.
- b. Client's relationship to his emotional states (overwhelmed? sufficiently controlled? a sense of objectivity?).
- c. Amount of support needed to function emotionally, with varying levels of stress.

COMMENT

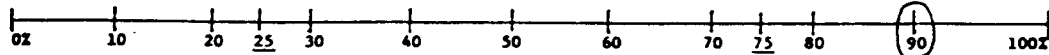
1.
 - a. Emotional states appear to be either extremely controlled and rigid (flat) or extremely uncontrolled (labile). Cannot objectively acknowledge his emotions.
 - b. Appears severely overwhelmed by emotional experience.
 - c. Intervention or support has no effect. Emotional states prevent almost all everyday functioning.
2.
 - a. Although may refer to emotional states, reveals no experienced awareness or objective understanding of emotions at the time they occur.
 - b. Excessively overwhelmed by emotions.
 - c. Even with constant support, becomes overwhelmed with slightest stress. Needs support to regain functioning.
3.
 - ☒ a. Indicates beginning awareness of emotional states, but anxious about this awareness.
 - ☐ b. Emerging objectivity in relation to emotions, though frequently overwhelmed by his emotions.
 - ☐ c. Even with constant support, in low stress situations, functioning breaks down.
4.
 - a. Usually aware of emotional states. Indicates an acceptance of emotions as a necessary part of life. May begin to take new actions based on awareness of his emotions.
 - b. Some objectivity in relation to his emotions but often feels overwhelmed by them.
 - c. Even with frequent support, in low stress situation, functioning is diminished. Needs support to regain functioning.
5.
 - a. Indicates that he is almost always aware of his emotions and is developing an understanding of them.
 - b. Somewhat uncomfortable and overwhelmed by his emotions, but still objective enough to begin to understand them.
 - c. Even with support, emotional functioning is impaired with moderate stress. Needs support to regain functioning.
6.
 - a. Understands his emotions and how they relate to everyday functioning. Begins to feel comfortable with various emotional states.
 - b. Behavior indicates sufficient emotional objectivity to function with flexibility.
 - c. Emotional functioning somewhat impaired with moderate stress. At times needs some support to regain functioning.
7.
 - a. Not only understands emotions and how they relate to everyday functioning but experiences this as satisfying, and a part of emotional growth.
 - b. In experiencing diverse emotional states, even extremes, person usually maintains a tempering objectivity.
 - c. With high stress, functioning will diminish. Occasionally needs support to regain functioning.
8.
 - a. Indicates thorough understanding of his emotional life and experiences emotional growth as part of a lifelong process.
 - b. Wide variety of emotions are experienced in a larger context of emotional growth.
 - c. With high stress, functioning slightly impaired. No need for support to regain functioning.

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(X) Initial Assessment - (Date) 12/23/93
() Reassessment - (Date) _____

Recipient's Name _____

5. Likelihood of benefit from medical day treatment. In comparison with other individuals' day treatment pre-admission functioning and subsequent benefit from day treatment in achieving treatment goals, what is the probable benefit of medical day treatment to this individual? (check level) SCORE



(No likelihood of achieving treatment goals)

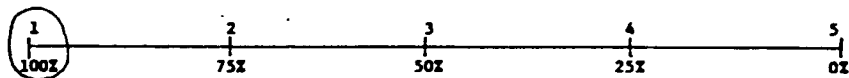
(Moderate likelihood in achieving treatment goals)

(Complete likelihood in achieving treatment goals)

90%

PREVIOUS COURSE OF FUNCTIONING DURING THE PAST YEAR

6. a. High vulnerability to stress. What is the likelihood that the individual exhibited severe psychopathological symptoms in response to mild to moderate levels of stress?



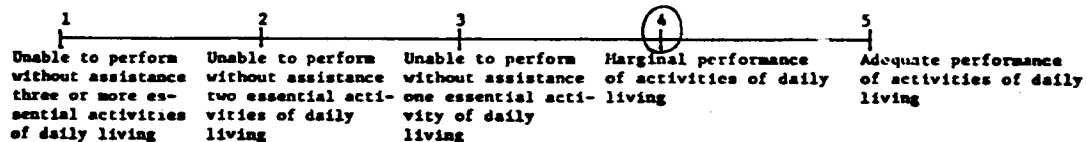
(Complete likelihood of severe psychopathological symptoms)

(Moderate likelihood of severe psychopathological symptoms)

(No likelihood of severe psychopathological symptoms)

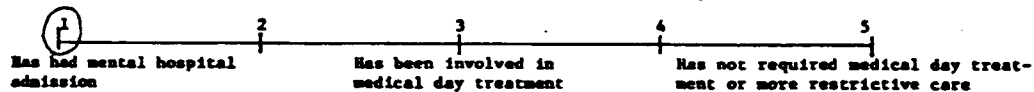
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- b. Deficiencies in activities of daily living skills. What has been the individual's level of functioning with regard to activities of daily living (i.e., bathing, grooming and dressing; basic housekeeping and shopping; use of public transportation; preparing or obtaining meals; maintaining prescribed program of medication; taking initiative to seek assistance with problems; etc.)?

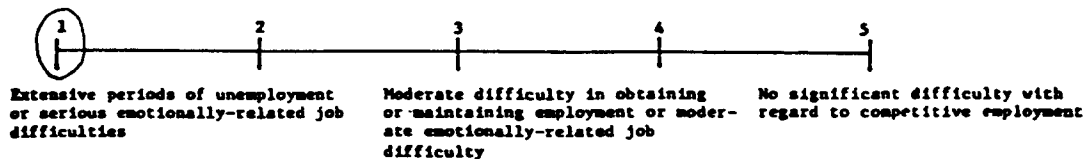


Specify Recipient able to perform lower level skills (i.e. bathe, feed self, use toilet, etc.) When stressed has much difficulty with higher level skills of preparing meals, shopping, working, socializing.

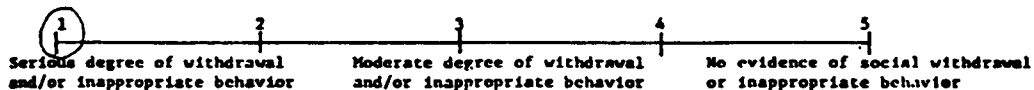
- c. Dependence on institutional and other support systems. To what extent has the individual required mental hospitalizations or other institutional support, or been unable to achieve self sufficient living?



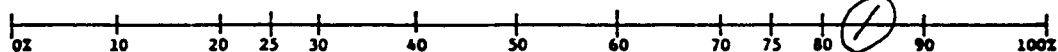
- d. Difficulty with working in the competitive job market. To what extent has the individual been unemployed, sporadically employed, or experienced emotionally-related job difficulties?



- e. Difficulty with interpersonal relations. To what extent has the individual exhibited social withdrawal and/or inappropriate behavior that interfered with interpersonal relationships necessary for community living?



7. Risk of hospitalization. If the individual does not receive medical day treatment at this time, what is the likelihood of the person requiring inpatient care within the next three months? NOTE: If feasible, this estimate should be made in comparison with other clients with similar diagnoses, levels of functioning, and course of functioning. Also, averaging more than one clinical judgment tends to increase the accuracy of this estimate. (check level)



No likelihood of inpatient care

Complete likelihood of requiring inpatient care

85%

TOTAL 8